

# On the relation of depth modulo a graded ideal and its initial ideal

Mitsuhiro MIYAZAKI

Kyoto University of Education

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$K$ : an infinite field

$S = K[X_1, \dots, X_r]$ : a polynomial ring

We assume that  $S$  is graded by a weight vector  $w = (w_1, \dots, w_r) \in (\mathbf{N} \setminus \{0\})^r$ , that is  $\deg X_i = w_i$  for  $i = 1, \dots, r$ .

$I$ : a graded ideal of  $S$

**Definition 1** The Krull dimension  $\text{Krulldim}S/I$  of  $S/I$  is  $\max\{d \mid \exists P_0, P_1, \dots, P_d \text{ such that } I \subset P_0 \subsetneq P_1 \subsetneq \dots \subsetneq P_d \text{ and } P_i \text{ is a prime ideal for any } i\}$ .

**Fact 2**  $\text{Krulldim}S/I = \max\{t \mid \exists i_1, \dots, i_t \text{ such that the image of } X_{i_1}, \dots, X_{i_t} \text{ in } S/I \text{ are algebraically independent over } K\}$ .

**Definition 3**  $\text{depth}S/I := \min\{i \mid \text{Ext}_S^i(K, S/I) \neq 0\}$

**Fact 4**  $\text{depth}S/I = \min\{i \mid H_{\mathfrak{m}}^i(S/I) \neq 0\}$ , where  $\mathfrak{m} = (X_1, X_2, \dots, X_r)$ .

**Fact 5**  $\text{depth}S/I \leq \text{Krulldim}S/I$ .

**Definition 6** If  $\text{depth}S/I = \text{Krulldim}S/I$ , we say that  $S/I$  is Cohen-Macaulay.

**Theorem 7 (Auslander-Buchsbaum)**  $\text{depth}S/I = r - \text{projdim}S/I$ .

In our situation,

**Fact 8**  $\text{projdim}S/I = \max\{i \mid \text{Tor}_i^S(K, S/I) \neq 0\}$ .

**Definition 9**  $\beta_{ij} := \dim_K \text{Tor}_i^S(K, S/I)_j$ .  $\beta_{ij}$  are called Betti numbers.

Now assume that a monomial order  $<$  on  $S$  is defined.  
 $J$ : a graded ideal of  $S$ .

**Fact 10**  $\text{Krulldim}S/\text{in}(J) = \text{Krulldim}S/J$ .

**Fact 11** *Let  $T$  be a new variable. There is an ideal  $\tilde{J}$  in  $S[T] = K[T][X_1, \dots, X_r]$  such that  $S[T]/\tilde{J}$  is flat over  $K[T]$ ,  $S[T]/((T) + \tilde{J}) \simeq S/\text{in}(J)$  and  $S[T]/((T - u) + \tilde{J}) \simeq S/J$  for any  $u \in K \setminus \{0\}$ .*

I.e., if we substitute  $T$  by  $u$  in  $S[T]$ , then  $S[T]/\tilde{J}$  is isomorphic to  $S/J$  if  $u \neq 0$  and is isomorphic to  $S/\text{in}(J)$  if  $u = 0$ .

**Corollary 12** *Betti numbers are upper semi-continuous, i.e., for any  $i, j$  and for any  $a \in \mathbf{R}$ ,  $\{u \in K \mid \beta_{ij}(u) \in [a, \infty)\}$  is a closed subset of  $K$  (in the Zariski topology).*

**Corollary 13**  $\text{depth}S/\text{in}(J) \leq \text{depth}S/J$ .

**Example 14** Let  $n$  be an integer with  $n > 2$ ,  $X = (X_{ij})$  an  $n \times n$  symmetric matrix of indeterminates, i.e.,  $\{X_{ij}\}_{1 \leq i \leq j \leq n}$  is a family of independent indeterminates and  $X_{ji} = X_{ij}$  for  $i < j$ . Set  $S = K[X_{ij} \mid 1 \leq i \leq j \leq n]$  with  $\deg X_{ij} = 1$  for any  $i$  and  $j$  and consider the degree reverse lexicographic order given by  $X_{11} > X_{12} > \cdots > X_{1n} > X_{22} > X_{23} > \cdots > X_{nn}$ . Let  $J = I_2(X)$  be the ideal generated by 2-minors of  $X$ . Then  $\text{depth} S/J = n$  whereas  $\text{depth} S/\text{in}(J) = 2$ .

**Theorem 15** *Assume that  $S/\text{in}(J)$  is reduced and has finite local cohomologies, i.e.  $\dim_K H_{\mathfrak{m}}^i(S/\text{in}(J))$  is finite for  $i < \text{Krulldim}S/\text{in}(J)$ . Then  $\text{depth}S/\text{in}(J) = \text{depth}S/J$ . In particular, if  $S/J$  is Cohen-Macaulay, then so is  $S/\text{in}(J)$ .*

**Remark 16** In the situation of Example 14,  $X_{ij}^2$  is a member of the minimal generating system of  $\text{in}(J)$  for any  $i, j$  with  $i < j$ . In particular  $S/\text{in}(J)$  is not reduced. On the other hand, if we consider the lexicographic order or the degree lexicographic order on  $K[X_{ij} \mid 1 \leq i \leq j \leq n]$ , then  $S/\text{in}(J)$  is Cohen-Macaulay of Krull dimension  $n$ .